

# Admin

- ◇ Today's topics
  - Binary search trees, implementing Map as tree
- ◇ Reading
  - Ch 13

Lecture #22

# Map as Vector

	Unsorted	Sorted
Map()	$O(1)$	$O(1)$
~Map()	$O(1)$	$O(1)$
add()	$O(N)$	$O(N)$
getValue()	$O(N)$	$O(\log N)$
Overhead per entry	none	none

# A different strategy

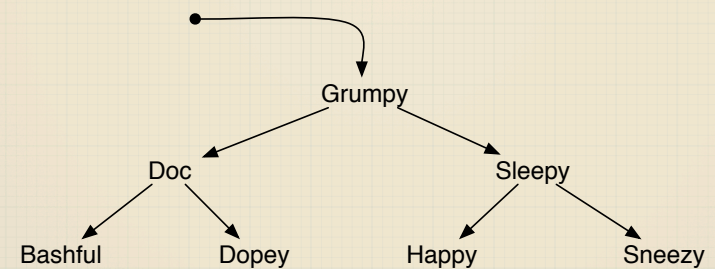
- ◇ Sorting the Vector
  - Provides fast lookup, but still slow to insert (because of shuffling)
- ◇ Does a linked list help?
  - Easy to insert, once at a position
  - But hard to find position to insert...
  - Will rearranging pointers help?

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Bashful → Doc → Dopey → Grumpy → Happy → Sleepy → Sneezzy

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Bashful → Doc → Dopey → Grumpy → Happy → Sleepy → Sneezzy

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Bashful ← Doc ← Dopey ← Grumpy → Happy → Sleepy → Sneezzy

# Voila... a binary search tree!



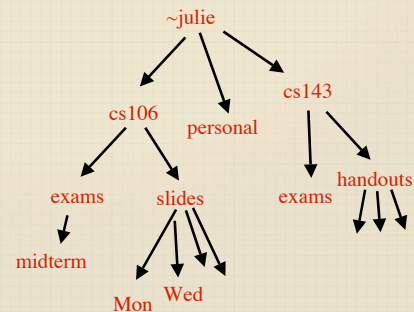
- ◇ Tree terminology
  - Node, tree, subtree, parent, child, root, leaf

# Trees in general

- ◇ Rules for all trees
  - Recursive branching structure
  - Single root node
  - Every node reachable from root by unique path

## ◇ Examples

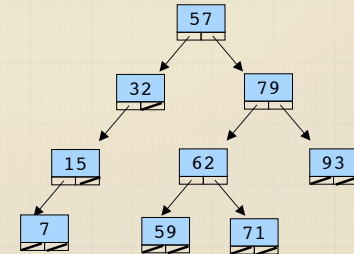
- Game tree
- Family tree
- Filesystem hierarchy
- Decomposition tree
- Binary, ternary, n-ary
- Binary search tree



# Binary search tree in specific

- ◇ Binary tree
  - Each node has at most 2 children
- ◇ Binary search tree
  - Arranged for efficient search/insert
  - All nodes in left subtree are less than root, all nodes in right subtree are greater

```
struct node {
    int val;
    node *left, *right;
};
```



# Operating on trees

- ◇ Many tree algorithms are recursive
  - Not suprisingly!
  - Handle current node, recur on subtrees
  - Base case is empty tree (NULL)
- ◇ Tree traversals to visit all nodes
  - Handle cur node, visit left/right subtrees
- ◇ Whether current node before/after its subtrees determines order of traversal
  - Pre: cur, left, right
  - In: left, cur, right
  - Post: left, right, cur
  - Others: level-by-level, reverse orders, etc.

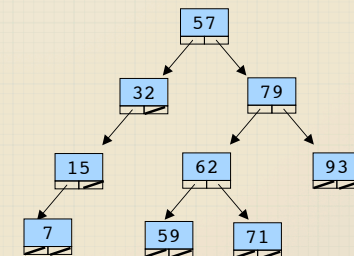
# Tree traversals at work

```
// INORDER
```

```
void PrintTree(node *t)
{
    if (t != NULL) {
        PrintTree(t->left);
        cout << t->key << endl;
        PrintTree(t->right);
    }
}
```

```
// POSTORDER
```

```
void FreeTree(node *t)
{
    if (t != NULL) {
        FreeTree(t->left);
        FreeTree(t->right);
        delete t;
    }
}
```



# Implementing Map as tree

- ◇ Each Map entry adds node to tree
  - Node contains:
    - string key, client-type value, pointers to left/right subtrees
- ◇ Tree organized for binary search
  - Key is used as search field
  - Quickly find matching key or place to insert new key
- ◇ `getValue`
  - Searches tree, comparing keys, find existing match or error
- ◇ `add`
  - Searches tree, comparing keys, overwrites existing or adds new node

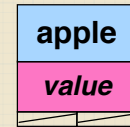
# Private members for Map

```
template <typename ValType>
class Map
{
public:
    // as before
private:
    struct node {
        string key;
        ValType value;
        node *left, *right;
    };

    node *root;

    node *treeSearch(node * t, string key);
    void treeEnter(node *&t, string key, ValType val);

    DISALLOW_COPYING(Map)
};
```



# Map implementation

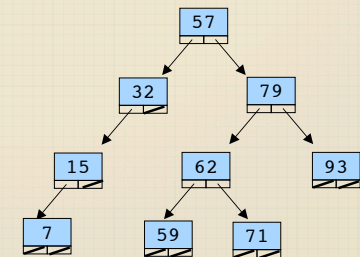
```
template <typename ValType>
ValType Map<ValType>::getValue(string key)    // getValue is wrapper
{
    node *found = treeSearch(root, key);    // for treeSearch rec fn
    if (found == NULL)
        Error("getValue of non-existent key!");
    else
        return found->value;
}

template <typename ValType>
typename Map<ValType>::node *Map<ValType>::treeSearch(node *t, string key)
{
    if (t == NULL) return NULL;    // doesn't exist

    if (key == t->key)              // found match
        return t;
    else if (key < t->key)
        return treeSearch(t->left, key);    // search left
    else
        return treeSearch(t->right, key);    // search right
}
```

# Adding to a binary search tree

- ◇ Starts like `getValue`
  - Trace out path where node should be
- ◇ Add node as new leaf
  - Don't change any other nodes/pointers
  - Correct place to maintain binary search property



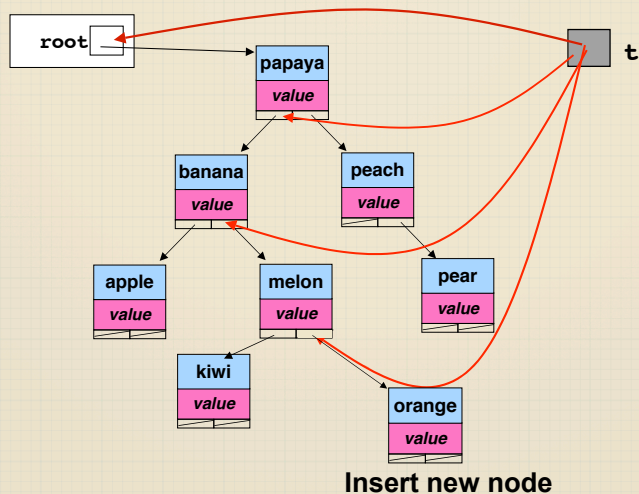
# Map implementation

```
template <typename ValType>
void Map<ValType>::add(string key, ValType val) // add is wrapper
{
    treeEnter(root, key, val);    // call rec helper to do enter
}
```

# Recursive treeEnter

```
template <typename ValType>
void Map<ValType>::treeEnter(node * & t, string key, ValType val)
{
    if (t == NULL) {
        t = new node;
        t->key = key;
        t->value = val;
        t->left = t->right = NULL;
    } else if (key == t->key) {
        t->value = val;
    } else if (key < t->key) {
        treeEnter(t->left, key, val);
    } else {
        treeEnter(t->right, key, val);
    }
}
```

# Trace treeEnter

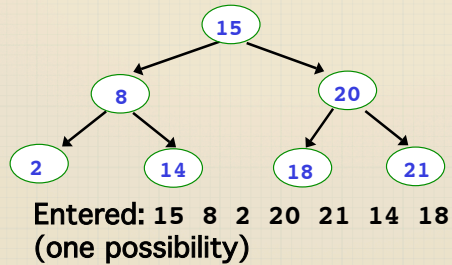


# Evaluate Map as tree

- ◇ Space used
  - Overhead of two pointers per entry (typically 8 bytes total)
  - Tree adds nodes as needed, no excess capacity maintained
- ◇ Runtime performance
  - Add/getValue take time proportional to tree height
  - Height expected to be  $O(\log N)$

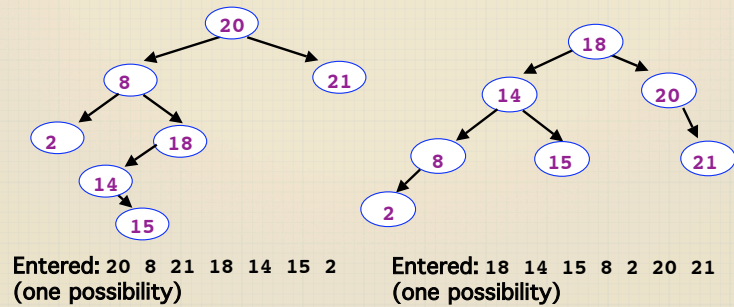
## A balanced tree

- Values: 2 8 14 15 18 20 21
- Different trees possible, depends on order inserted
- 7 nodes, expected height  $\lg 7 \approx 3$
- Perfectly balanced



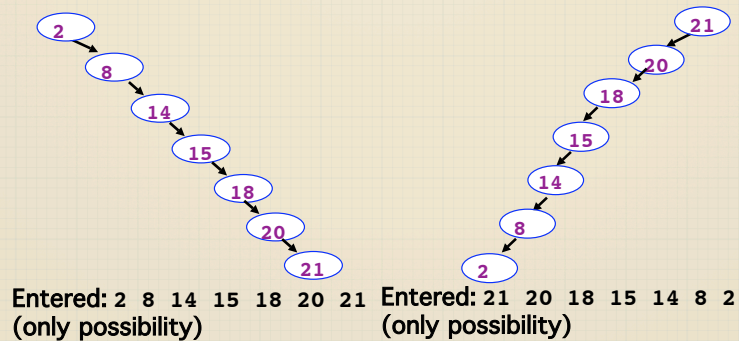
## Mostly balanced trees

- Same values: 2 8 14 15 18 20 21
- Mostly balanced, height 4 or 5



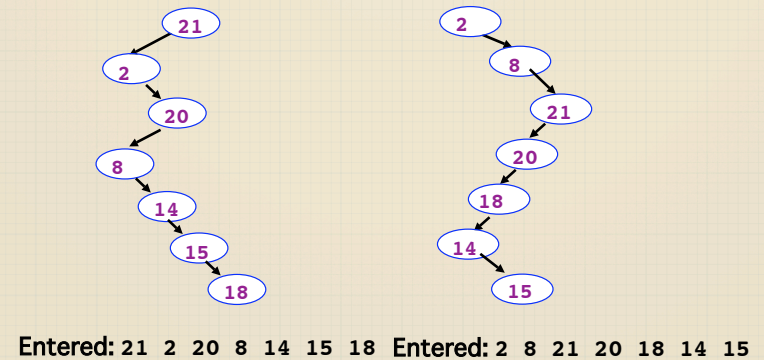
## Degenerate trees

- Same values: 2 8 14 15 18 20 21
- Totally unbalanced, height = 7



## Even more degenerate trees

- What is relationship between worst-case inputs for tree insertion and Quicksort?



## What to do about it?

- ◇ Might ignore degenerate outcomes if rare
  - But does that apply here?
- ◇ Wait til problem then re-balance entire tree
  - Monitor height to note when out of whack
  - Copy values to array (travel inorder to get sorted)
  - Take middle element and create new root node
  - Recursively convert left/right subarrays to subtrees
- ◇ Never let it get lopsided to begin with
  - Constantly monitor balance for each subtree
  - Rebalance subtree before going too far astray

## AVL trees

- ◇ Self-balancing binary search tree
- ◇ Track balance factor for each node
  - Height of right subtree - height of left subtree
- ◇ Balance factor of 0 or 1 is ok
  - Tree is within one level of balanced
- ◇ When balance factor hits 2, restructure
- ◇ "Rotation" moves nodes from heavy to light side
  - Local rearrangement around specific node
  - When finished, node has 0 balance factor

## Compare Map implementations

	Vector	Sorted Vector	BST
getValue	$O(N)$	$O(\lg N)$	$O(\lg N)$
add	$O(N)$	$O(N)$	$O(\lg N)$

- ◇ Space used
  - Vector is just key+value, no overhead
  - BST adds 8 bytes of pointers (+ balance factor?) to each entry